
Substructural Logics with Fixed Points

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Fixpoints in logic and computer science

Usually:

- Knaster-Tarski (or Banach)

Given a complete lattice L , any **monotone** map $f : L \longrightarrow L$ has a fixpoint.

- least/greatest fixpoints for **monotone** formulas
- foundation of **induction/coinduction**

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Today:

- Brouwer

Any **continuous** map $f : [0, 1]^n \longrightarrow [0, 1]^n$ has a fixpoint.

- fixpoints for **arbitrary** formulas
- related to **naive comprehension**

Systems to be discussed

	Fixpoints	Naive set theory
Łukasiewicz logic	\mathcal{L}_{fix}	\mathcal{L}_{set}
Monoidal t-norm logic	\mathbf{MTL}_{fix}	\mathbf{MTL}_{set}
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Int is inconsistent with fixpoints

Int (intuitionistic logic) with **self-contradiction**

$$(sc) \quad \alpha \leftrightarrow \neg\alpha$$

is inconsistent (α a propositional constant).

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- Cut elimination procedure works **stepwise**, though does not **terminate**.
- **Induction on the cut formula** is not available.

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= intuitionistic multiplicative-additive linear logic +
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Fact

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- Proofs shrink by reducing (principal) cuts:

$$\frac{\frac{\frac{\vdots}{\Gamma \Rightarrow \neg\alpha}}{\Gamma \Rightarrow \alpha} \quad \frac{\frac{\vdots}{\neg\alpha \Rightarrow \Pi}}{\alpha \Rightarrow \Pi}}{\Gamma \Rightarrow \Pi} \longrightarrow \frac{\frac{\vdots}{\Gamma \Rightarrow \neg\alpha} \quad \frac{\vdots}{\neg\alpha \Rightarrow \Pi}}{\Gamma \Rightarrow \Pi}}$$

even though the cut formula may become more complicated.

Weaker forms of contraction

Rule (wc_n) :

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Fact

1. **FLew** + (wc_n) is **inconsistent** with $\beta \leftrightarrow \neg\beta^n$.
2. **FLew** + (c') is **consistent** with any fixpoints.

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We also consider **mutual** fixpoints: $\alpha = A(\beta), \beta = B(\alpha)$

$$\alpha = A(B(\alpha)) = A(B(A(\beta))) = A(B(A(B(\alpha)))) = \dots$$

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More generally we assume: given n formulas in n variables $A_1(\vec{p}), \dots, A_n(\vec{p})$, there are constants $\alpha_1, \dots, \alpha_n$ such that

$$\begin{array}{rcl} \alpha_1 & = & A_1(\alpha_1, \dots, \alpha_n) \\ \vdots & & \vdots \\ \alpha_n & = & A_n(\alpha_1, \dots, \alpha_n) \end{array}$$

This defines **System FLew_{fix}**.

Consistency of $\mathbf{FLew}_{fix} + (c')$

Fact

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Proof Idea: any proof of **contradiction** shrinks by stepwise cut elimination.

$$\frac{\frac{\frac{\vdots d_A}{\Rightarrow A} \quad \frac{\vdots d_B}{\Rightarrow B} \quad \frac{\frac{\vdots d_{AA}}{A, \dot{A} \Rightarrow} \quad \frac{\vdots d_{BB}}{B, \dot{B} \Rightarrow}}{A, B \Rightarrow} (c')}{\Rightarrow} (cut)}{\Rightarrow}$$

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Compare $|d_A|$ and $|d_B|$. If $|d_A| \leq |d_B|$, the left proof is smaller than the original one.

Summary

Actually we have a more general result. Note that $\mathbf{FLew} + (c')$ is a sublogic of \mathfrak{L} (blackboard).

Theorem

Let \mathbf{L} be an axiomatic extension of \mathbf{FLew} .

1. If \mathbf{L} is above $\mathbf{FLew} + (wc_n)$ for some n , \mathbf{L}_{fix} is inconsistent.
2. If \mathbf{L} is below \mathfrak{L} , \mathbf{L}_{fix} is consistent.

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- 1 subsumes all **superintuitionistic** logics and all **finitely valued** logics extending \mathbf{FLew} .

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Problem 1

Sharpen the above theorem.

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Naive set theory over FLew

Terms and formulas:

$$\begin{aligned} t & ::= x \mid \{x : \varphi\} \\ \varphi & ::= t \in t \mid 0 \mid \varphi \rightarrow \varphi \mid \forall x. \varphi \end{aligned}$$

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FLew_{set}: extension of **FLew** \forall with naive comprehension:

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More generally, any set $\{A_1(\vec{p}), \dots, A_n(\vec{p})\}$ admits a mutual fixpoint.

Hence **FLew_{fix}** is embeddable into **FLew_{set}**.

Consistency of \mathbf{FLew}_{set}

There is a shrinking cut elimination procedure:

$$\frac{\frac{\frac{\vdots}{\Gamma \Rightarrow \varphi(t)}}{\Gamma \Rightarrow t \in \{x : \varphi(x)\}} \quad \frac{\frac{\vdots}{\varphi(t) \Rightarrow \Pi}}{t \in \{x : \varphi(x)\} \Rightarrow \Pi}}{\Gamma \Rightarrow \Pi} \longrightarrow \frac{\frac{\vdots}{\Gamma \Rightarrow \varphi(t)} \quad \frac{\vdots}{\varphi(t) \Rightarrow \Pi}}{\Gamma \Rightarrow \Pi}$$

Theorem (Grisin 1982)

\mathbf{FLew}_{set} is consistent.

We may define

- Leibniz equality
- logical connectives
- union, intersection, complement
- natural numbers

Expressivity of FLew_{set}

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This allows us to define a term \mathbb{N} such that

$$x \in \mathbb{N} \quad \leftrightarrow \quad x = 0 \vee \exists y \in \mathbb{N}. x = y + 1.$$

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We may also define all r.e. sets.

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Provability in \mathbf{FLew}_{set} is Σ_1^0 -complete.

However, \mathbf{FLew}_{set} is a **very weak** theory, which is analogous to Robinson's \mathbf{Q} in arithmetic.

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In naive set theory, we extend \mathbf{FLew}_{set} with **controlled** contractions.

Modally controlled contraction 1

We may extend \mathbf{FLew}_{set} with K -modality !:

$$\frac{\Gamma \Rightarrow B}{!\Gamma \Rightarrow !B} \quad \frac{!A, !A, \Gamma \Rightarrow \Pi}{!A, \Gamma \Rightarrow \Pi}$$

This is called the [elementary affine set theory](#).

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$$\mathbf{N} := \{x : \forall X. !\forall y(y \in X \rightarrow y+1 \in X) \rightarrow !(0 \in X \rightarrow x \in X)\}$$

It supports [elementary induction principle](#):

$$\frac{A(0) \quad \forall y. A(y) \rightarrow A(y+1)}{\forall x \in \mathbf{N}. !A(x)}$$

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Theorem (Girard 98, T. 04)

A function $f : \mathbf{N} \rightarrow \mathbf{N}$ is **elementary recursive** iff it is **provably total** in elementary affine set theory.

Modally controlled contraction 2

We may also extend \mathbf{FLew}_{set} with **two** modalities $!$, ξ with

$$\frac{A \Rightarrow B}{!A \Rightarrow !B} \quad \frac{!A, !A, \Gamma \Rightarrow \Pi}{!A, \Gamma \Rightarrow \Pi} \quad \frac{\Gamma, \Delta \Rightarrow B}{! \Gamma, \xi \Delta \Rightarrow \xi B}$$

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Theorem (Girard 98, T. 04)

A function $f : \mathbb{N} \longrightarrow \mathbb{N}$ is **polynomial time computable** iff it is **provably total** in light affine set theory.

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- If ! is K, it captures **elementary recursive** functions.
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- If ! is T, it is **inconsistent**.

$$\frac{\frac{\frac{! \alpha \Rightarrow ! \alpha}{! \alpha, \neg ! \alpha \Rightarrow}}{! \alpha, \alpha \Rightarrow} (T)}{! \alpha, ! \alpha \Rightarrow} (c)$$

$$\frac{\frac{! \alpha \Rightarrow}{\Rightarrow \neg ! \alpha}}{\Rightarrow \alpha} \quad (\alpha = \neg ! \alpha)$$

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$$\frac{!\alpha \Rightarrow !\alpha}{!\alpha, \neg !\alpha \Rightarrow}$$

Problem 2

Is K4 consistent? What about other modalities?

$$\frac{!\alpha \Rightarrow}{\Rightarrow \neg !\alpha} \quad \frac{\Rightarrow \neg !\alpha}{\Rightarrow \alpha} \quad (\alpha = \neg !\alpha)$$

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It allows us to define

$A \vee B := (A \rightarrow B) \rightarrow B$

$$\frac{\frac{A \Rightarrow A \quad B \Rightarrow B}{A, A \rightarrow B \Rightarrow B}}{A \Rightarrow (A \rightarrow B) \rightarrow B} \quad \frac{\frac{B \Rightarrow B \quad A \Rightarrow A}{B, B \rightarrow A \Rightarrow A}}{B \Rightarrow (B \rightarrow A) \rightarrow A} \quad (\mathbb{L})$$

$$\frac{B \Rightarrow (B \rightarrow A) \rightarrow A}{B \Rightarrow (A \rightarrow B) \rightarrow B} \quad (\mathbb{L})$$

$$\frac{\frac{\frac{A \Rightarrow C}{C \rightarrow B \Rightarrow A \rightarrow B}}{(A \rightarrow B) \rightarrow B, C \rightarrow B \Rightarrow B}}{(A \rightarrow B) \rightarrow B \Rightarrow (C \rightarrow B) \rightarrow B} \quad \frac{B \Rightarrow C}{(B \rightarrow C) \rightarrow C \Rightarrow C} \quad (\mathbb{L})$$

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For linear logicians: Ł is an extension of **MLL** in which additives are multiplicatively definable.

Łukasiewicz's infinite-valued logic

(axiom Ł) $((A \rightarrow B) \rightarrow B) \rightarrow (B \rightarrow A) \rightarrow A$

For linear logicians: Ł is an extension of **MLL** in which additives are multiplicatively definable.

Theorem (Kowalski 2012)

Let A, B be \rightarrow -only formulas.

- If $(A \rightarrow B) \rightarrow B$ is provable in **FLew**, either A or B is provable.
- The following inference is admissible in **FLew**

$$\frac{\Rightarrow (A \rightarrow B) \rightarrow B}{\Rightarrow (B \rightarrow A) \rightarrow A}$$

Łukasiewicz interpretation

Łukasiewicz and Tarski (1930) assigned to each formula

$$B \equiv B(\beta_1, \dots, \beta_n)$$

a function

$$\llbracket B \rrbracket : [0, 1]^n \longrightarrow [0, 1]$$

defined by

$$\begin{aligned} \llbracket \beta_i \rrbracket(\vec{x}) &:= x_i \\ \llbracket 0 \rrbracket(\vec{x}) &:= 0 \\ \llbracket B \rightarrow C \rrbracket(\vec{x}) &:= \min(1, 1 - \llbracket B \rrbracket(\vec{x}) + \llbracket C \rrbracket(\vec{x})) \\ \llbracket B \cdot C \rrbracket(\vec{x}) &:= \max(0, \llbracket B \rrbracket(\vec{x}) + \llbracket C \rrbracket(\vec{x}) - 1) \end{aligned}$$

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Theorem

This is the **only** assignment on $[0, 1]$ which is both **FLew-sound** and **continuous**.

Brouwer's fixpoint theorem \Rightarrow Consistency of \mathfrak{L}_{fix}

Theorem (Brouwer 1910)

Every continuous map $f : [0, 1]^n \longrightarrow [0, 1]^n$ has a fixed point.

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Every continuous map $f : [0, 1]^n \longrightarrow [0, 1]^n$ has a fixed point.

Corollary

\mathfrak{L}_{fix} is consistent.

Given $A_1(\vec{\alpha}), \dots, A_n(\vec{\alpha})$, consider

$$(\llbracket A_1 \rrbracket, \dots, \llbracket A_n \rrbracket) : [0, 1]^n \longrightarrow [0, 1]^n$$

and let (r_1, \dots, r_n) be a fixed point.

Then valuation $v(\alpha_i) := r_i$ satisfies all $\alpha_i \leftrightarrow A_i(\vec{\alpha}_i)$. Hence \mathfrak{L}_{fix} is consistent.

Towards a proof theory of L_{fix}

Two reasons to study proof theory of L_{fix} :

1. $\text{Con}(\mathsf{L}_{fix})$ implies BFT.
2. First step to the consistency of L_{set} , which is a big open problem in fuzzy logic.

Towards a proof theory of \mathcal{L}_{fix}

Two reasons to study proof theory of \mathcal{L}_{fix} :

1. $\text{Con}(\mathcal{L}_{fix})$ implies BFT.
2. First step to the consistency of \mathcal{L}_{set} , which is a big open problem in fuzzy logic.

Note: White (1979) introduced a natural deduction system for \mathcal{L}_{set} and “proved” its consistency. It has been believed correct until recently. But it turned out incorrect (look at a note on my webpage).

McNaughton functions

A **McNaughton function** is a continuous piecewise-linear function $f : [0, 1]^n \rightarrow [0, 1]$ with integer coefficients. I.e., there is a partition

$$[0, 1]^n = X_0 \cup \dots \cup X_m$$

and on each X_i

$$f(\vec{x}) = a_1x_1 + \dots + a_nx_n + a_0$$

for some $a_0, \dots, a_n \in \mathbb{Z}$.

(blackboard)

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Theorem

$f : [0, 1]^n \rightarrow [0, 1]^n$ is a product of McNaughton functions iff there are formulas A_1, \dots, A_n with $f = ([A_1], \dots, [A_n])$.

Quasi-McNaughton functions

Rational numbers are definable by fixpoints:

$$\alpha \leftrightarrow \neg\alpha \quad \implies \quad \alpha = 1/2$$

$$\alpha \leftrightarrow \neg(\alpha \cdot \alpha) \quad \implies \quad \alpha = 2/3$$

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Given a (product of) McNaughton function

$g : [0, 1]^{n+m} \longrightarrow [0, 1]^n$ and $q_1, \dots, q_m \in [0, 1] \cap \mathbb{Q}$,

$$f(\vec{x}) := g(\vec{x}, \vec{q}) : [0, 1]^n \longrightarrow [0, 1]^n$$

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Lemma

$\text{Con}(\mathcal{L}_{fix})$ implies BFT for quasi-McNaughton functions.

Con(\mathbf{L}_{fix}) \Rightarrow BFT

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Proof. Given a quasi-McNaughton f , there are A_1, \dots, A_n and $q_1, \dots, q_m \in [0, 1] \cap \mathbb{Q}$ such that

$$f(\vec{x}) = (\llbracket A_1 \rrbracket(\vec{x}, \vec{q}), \dots, \llbracket A_n \rrbracket(\vec{x}, \vec{q})).$$

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The rationals q_1, \dots, q_m are definable by $\beta_i \leftrightarrow B_i(\beta_i)$ for $i = 1, \dots, m$. Consider fixpoint equations for $\vec{A}(\vec{\alpha}, \vec{\beta}), \vec{B}(\vec{\beta})$.

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The rationals q_1, \dots, q_m are definable by $\beta_i \leftrightarrow B_i(\beta_i)$ for $i = 1, \dots, m$. Consider fixpoint equations for $\vec{A}(\vec{\alpha}, \vec{\beta}), \vec{B}(\vec{\beta})$. Since \mathcal{L}_{fix} is consistent, there is an assignment

$$(r_1, \dots, r_n, q_1, \dots, q_m) \in [0, 1]^{n+m}.$$

satisfying $\alpha_i \leftrightarrow A_i(\vec{\alpha}, \vec{\beta})$, that is, $\vec{r} = f(\vec{r})$.

Con(L_{fix}) \Rightarrow BFT

Theorem

Con(L_{fix}) implies Brouwer's fixed point theorem.

Proof. Every continuous $f : [0, 1]^n \longrightarrow [0, 1]^n$ can be approximated by a sequence of quasi-McNaughton $\{f_i\}_{i \in \mathbb{N}}$:

$$f_i(x) \quad \rightarrow \quad f(x) \quad (i \rightarrow \infty).$$

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A proof system for L_{fix}

(axiom L) is equivalent to

$$\frac{\Gamma, A \rightarrow B \Rightarrow B \quad \Delta, B \Rightarrow A \quad \Sigma, A \Rightarrow \Pi}{\Gamma, \Delta, \Sigma \Rightarrow \Pi} (\mathsf{L})$$

A proof system for \vdash_{fix}

(axiom \vdash) is equivalent to

$$\frac{\Gamma, A \rightarrow B \Rightarrow B \quad \Delta, B \Rightarrow A \quad \Sigma, A \Rightarrow \Pi}{\Gamma, \Delta, \Sigma \Rightarrow \Pi} (\vdash)$$

(cut) and (\vdash) can be eliminated **stepwise** from derivations of \Rightarrow :

$$\frac{\begin{array}{c} \vdots d_1 \\ A \rightarrow B \Rightarrow B \end{array} \quad \begin{array}{c} \vdots d_2 \\ B \Rightarrow A \end{array} \quad \begin{array}{c} \vdots d_3 \\ A \Rightarrow \end{array}}{\Rightarrow} (\vdash)$$

reduces to

$$\frac{\begin{array}{c} \vdots d_3 \\ \frac{A \Rightarrow}{A \Rightarrow B} \end{array} \quad \begin{array}{c} \vdots d_1 \\ A \rightarrow B \Rightarrow B \end{array} \quad \begin{array}{c} \vdots d_2 \\ B \Rightarrow A \end{array} \quad \begin{array}{c} \vdots d_3 \\ A \Rightarrow \end{array}}{\Rightarrow} (cut)$$

A proof system for \mathcal{L}_{fix}

(axiom \mathcal{L}) is equivalent to

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Problem 3

Does the procedure terminate? If so, we would obtain a **proof-theoretic** proof of Brouwer's fixpoint theorem.

Systems to be discussed

	Fixpoints	Naive set theory
Łukasiewicz logic	\mathbb{L}_{fix}	\mathbb{L}_{set}
Monoidal t-norm logic	\mathbf{MTL}_{fix}	\mathbf{MTL}_{set}
Int. logic without contraction	\mathbf{FLew}_{fix}	\mathbf{FLew}_{set}

- Consistency of \mathbb{L}_{set} is a **big open problem**.

Cantor-Łukasiewicz set theory

Terms and formulas:

$$\begin{aligned} t &::= x \mid \{x : \varphi(x)\} \\ \varphi &::= t \in t \mid 0 \mid \varphi \rightarrow \varphi \mid \forall x.\varphi \end{aligned}$$

\mathcal{L}_{set} : extension of $\mathcal{L}\forall$ with naive comprehension axiom:

$$t \in \{x : \varphi(x)\} \quad \leftrightarrow \quad \varphi(t).$$

Cantor-Łukasiewicz set theory

Łukasiewicz interpretation can be extended:

$$\llbracket \forall x. \varphi(x) \rrbracket := \bigwedge_{a \in D} \llbracket \varphi(a) \rrbracket.$$

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Is \mathcal{L}_{set} consistent?

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Is \mathcal{L}_{set} consistent?

Two obstacles:

- Infinitary \bigwedge breaks **continuity**.

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BFT no more available. Forced to work **proof-theoretically**.

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- \mathbf{MTL}_{fix} , \mathbf{MTL}_{set} are more tractable.

Monoidal t-norm logic with fixpoints

MTL := **FLew** with **prelinearity**:

$$(pl) \quad A \rightarrow B \vee B \rightarrow A.$$

MTL_{fix}: given n formulas $A_1(\vec{p}), \dots, A_n(\vec{p})$, there are constants $\alpha_1, \dots, \alpha_n$ such that

$$\begin{array}{rcl} \alpha_1 & = & A_1(\alpha_1, \dots, \alpha_n) \\ \vdots & & \vdots \\ \alpha_n & = & A_n(\alpha_1, \dots, \alpha_n) \end{array}$$

Formulas are identified modulo the equivalence.

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MTL is a sublogic of **L**, so:

Fact

MTL_{fix} is consistent.

Hypersequent calculus for MTL_{fix}

Hypersequents: $\Theta_1 \mid \cdots \mid \Theta_n$ with Θ_i a sequent.
Hypersequent calculus for **FL** consists of

$$\frac{\text{Rules of FL} \quad \Xi \mid A, \Gamma \Rightarrow B}{\Xi \mid \Gamma \Rightarrow A \rightarrow B} \quad \frac{\text{Ext-Contraction} \quad \Xi \mid \Gamma \Rightarrow \Pi \mid \Gamma \Rightarrow \Pi}{\Xi \mid \Gamma \Rightarrow \Pi}$$

Communication

$$\frac{\Xi \mid \Gamma_1, \Delta_1 \Rightarrow \Pi \quad \Xi \mid \Gamma_2, \Delta_2 \Rightarrow \Lambda}{\Xi \mid \Gamma_1, \Gamma_2 \Rightarrow \Pi \mid \Delta_1, \Delta_2 \Rightarrow \Lambda} \quad (com)$$

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$$\frac{\frac{\frac{\alpha \Rightarrow \alpha \quad \beta \Rightarrow \beta}{\alpha \Rightarrow \beta \mid \beta \Rightarrow \alpha} \quad (com)}{\Rightarrow \alpha \rightarrow \beta \mid \Rightarrow \beta \rightarrow \alpha} \quad (\rightarrow r)}{\Rightarrow (\alpha \rightarrow \beta) \vee (\beta \rightarrow \alpha) \mid \Rightarrow (\alpha \rightarrow \beta) \vee (\beta \rightarrow \alpha)} \quad (\vee r)$$

$$\frac{\quad}{\Rightarrow (\alpha \rightarrow \beta) \vee (\beta \rightarrow \alpha)} \quad (EC)$$

Cut elimination for MTL_{fix}

Goal: define a notion of **size** and design a **shrinking** cut elimination procedure.

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A **slice** of derivation d is a selection of 0 or 1 sequent from each hypersequent in d such that:

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The **size** $|d|$ is a multiset of natural numbers defined by:

$$|d| \quad := \quad \{|d'| \mid d' \text{ is a slice of } d\}.$$

where $|d'|$ is the number of inference rules visible in d' . We consider multiset ordering (which is well founded).

Cut elimination for MTL_{fix}

There is a shrinking cut elimination procedure for derivations of **contradiction** \Rightarrow .

$$\frac{\frac{\frac{\vdots d_A}{\Rightarrow A} \quad \frac{\vdots d_B}{\Rightarrow B}}{\Rightarrow} \quad \frac{\frac{\frac{\vdots d_{AA}}{A, A \Rightarrow} \quad \frac{\vdots d_{BB}}{B, B \Rightarrow}}{A, B \Rightarrow \mid A, B \Rightarrow} (com)}{A, B \Rightarrow} (EC)}{\Rightarrow} (cut)$$

reduces to

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Theorem

Any proof of \Rightarrow can be reduced to a cut-free proof (which does not exist).

Consistency of MTL_{set}

The previous argument works for MTL_{set} as well.

Theorem

MTL_{set} is consistent.

Summary

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- Consistency of \mathbb{L}_{fix} is equivalent to Brouwer's fixpoint theorem.
- Hence by proving the former proof-theoretically, we obtain a new proof of the latter.
- Moreover, such a proof most likely extends to naive set theory, which would lead to the consistency of \mathbb{L}_{set} , a big open problem in fuzzy mathematics.

Traditional logical approach to computational complexity

Formula-dependent characterizations of complexity classes.

polynomial hierarchy, bounded arithmetic,
circuit/proof complexity, finite model theory, (safe
recursion),

Eg. complexity of normalization in simply-typed λ -calculus:

Order	Complexity
2	P
3	PSPACE
4	EXPTIME
5	EXPSPACE
\vdots	\vdots
$2r + 2$	r -EXPTIME
$2r + 3$	r -EXPSPACE

$$\begin{aligned}o(p) &:= 0 \\ o(A \rightarrow B) &:= \max\{o(A) + 1, o(B)\}\end{aligned}$$

(Terui2012)

Girard's approach to computational complexity

“Intrinsic” complexity of a logic should be formula-independent and proof-theoretic (cut elimination).

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adding fixpoints \approx ignoring formula complexity

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Connection with Brouwer-Łukasiewicz?

consistency with fixpoints \approx continuous interpretation of formula